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CONSISTENT EFFECTIVE FIELD–THEORETIC TREATMENT OF RESONANCES WITH NON ZERO WIDTH

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A systematic field theoretic formalism for treating Fermionic resonances with non zero width is given. The implication of unitarity to coupling constants of non local interactions is shown. The extension of the formalism to Bosonic resonance field operators is straight forward.

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1. Field theoretic effective model for one resonance

It is well known (see e.g. [1], p. 1 ff), that at energies close to a resonance the propagator of a theory is dominated by its singular part, which has the following separable structure: $G(E) \simeq |\psi> (E-M)^{-1} <\psi| + regular\ terms$, while $|\psi>$ are state vectors carrying the quantum numbers of the resonance and $M = m_* - i\,\Gamma/2$ is the complex mass of the resonance (m_* is the real part of the mass M, Γ is the resonance width).

To preserve unitarity, one has to introduce two distinct field operators $\bar{\Psi}_L(x)$ and $\Psi_R(x)$ ("left" and "right" eigen-fields) and their complex conjugates, to describe one resonance degree of freedom in an effective field theoretic way by the following free Lagrangian density:

$$\begin{aligned}\mathcal{L}_0(x) \;=\; & \alpha\;\bar{\Psi}_L(x)\left(\frac{1}{2}\,(i\partial\!\!\!/ - i\overleftarrow{\partial\!\!\!/}) - m_* + \frac{i}{2}\,\Gamma\right)\Psi_R(x) \\ & + \alpha^*\;\bar{\Psi}_R(x)\left(\frac{1}{2}\,\underbrace{(i\partial\!\!\!/ - i\overleftarrow{\partial\!\!\!/})}_{=:\,i\overleftrightarrow{\partial\!\!\!/}} - m_* - \frac{i}{2}\,\underbrace{\gamma_0\,\Gamma^+\,\gamma_0}_{\stackrel{!}{=}\,\Gamma}\right)\Psi_L(x)\end{aligned} \tag{981}$$

(α is an arbitrary complex constant chosen to be 1). Variation of the action with respect to $\Psi_L^+(x)$, $\Psi_R^+(x)$, $\Psi_L(x)$ and $\Psi_R(x)$ yields the following "Dirac-equations":

$$\begin{aligned} (i\partial\!\!\!/ - M)\ \Psi_R(x) &= 0\,, & \bar\Psi_R(x)(-i\overleftarrow{\partial\!\!\!/} - M^*) &= 0\,,\\ (i\partial\!\!\!/ - M^*)\ \Psi_L(x) &= 0\,, & \bar\Psi_L(x)(-i\overleftarrow{\partial\!\!\!/} - M) &= 0\,. \end{aligned}$$

Quantization by introduction of the four canonical conjugate momenta results in the following non-vanishing equal-time anti-commutation relations:

$$\{\,\Psi_{R,\sigma}(\vec x,t),\Psi^+_{L,\tau}(\vec y,t)\,\} = \delta^{\,3}(\vec x-\vec y)\,\delta_{\sigma\tau}\,,\quad \&\ \text{Hermitian conjugate}\,.$$

With the help of $\omega_R(|\vec k|) := \sqrt{|\vec k|^2 + M^2}$ and $\omega_L(|\vec k|) := \sqrt{|\vec k|^2 + M^{*\,2}} \stackrel{!}{=} \omega_R^*(|\vec k|)$ the following four-momenta can be defined: $k_R^\mu := (\omega_R(|\vec k|), \vec k\,)$ and $k_L^\mu := (\omega_L(|\vec k|), \vec k\,)$. Now generalized "Dirac-spinors" can be introduced fulfilling the following momentum space "Dirac-equations":

$$\begin{aligned} (-\not k_R + M)\ u_R(\vec k,s) &= 0\,, & \bar u_R(\vec k,s)\,(-\not k_L + M^*) &= 0\,,\\ (-\not k_L + M^*)\ u_L(\vec k,s) &= 0\,, & \bar u_L(\vec k,s)\,(-\not k_R + M) &= 0\,,\\ (\not k_R + M)\ v_R(\vec k,s) &= 0\,, & \bar v_R(\vec k,s)\,(\not k_L + M^*) &= 0\,,\\ (\not k_L + M^*)\ v_L(\vec k,s) &= 0\,, & \bar v_L(\vec k,s)\,(\not k_R + M) &= 0\,. \end{aligned}$$

Some of their important properties are:

$$\begin{aligned} \bar u_L(\vec k,s)\ u_R(\vec k,s') &= \delta_{ss'}\,, & \bar v_L(\vec k,s)\ v_R(\vec k,s') &= -\delta_{ss'}\,,\\ \bar u_L(\vec k,s)\ v_R(\vec k,s') &= 0\,, & \bar v_L(\vec k,s)\ u_R(\vec k,s') &= 0\,, \end{aligned}$$

$$u_L^+(\vec k,s)\ u_R(\vec k,s') = \frac{\omega_R(|\vec k|)}{M}\,\delta_{ss'}\,,\qquad v_L^+(\vec k,s)\ v_R(\vec k,s') = \frac{\omega_R(|\vec k|)}{M}\,\delta_{ss'}\,,$$

$$\sum_s u_R(\vec k,s)\ \bar u_L(\vec k,s) = \frac{\not k_R + M}{2M}\,,\quad -\sum_s v_R(\vec k,s)\ \bar v_L(\vec k,s) = \frac{-\not k_R + M}{2M}\,.$$

Using these spinors the following Fourier-decomposition of the field operators is performed:

$$\Psi_R(x) = \sum_s \int \frac{d^3k\,\sqrt{2M}}{\sqrt{(2\pi)^3\,2\omega_R(|\vec k|)}} \Big[u_R(\vec k,s)\ b_R(\vec k,s)\ e^{-ik_Rx} + v_R(\vec k,s)\ d_R^+(\vec k,s)\ e^{ik_Rx}\Big]\,,$$

$$\Psi_L(x) \;=\; \sum_s \int \frac{d^3k\,\sqrt{2M^*}}{\sqrt{(2\pi)^3\,2\omega_L\,(|\vec{k}|)}} \Big[u_L\,(\vec{k},s)\; b_L\,(\vec{k},s)\; e^{-\,ik_Lx} \\ +v_L\,(\vec{k},s)\; d_L^+\,(\vec{k},s)\; e^{ik_Lx} \Big]\,,$$

$$\bar{\Psi}_R(x) \;=\; \sum_s \int \frac{d^3k\,\sqrt{2M^*}}{\sqrt{(2\pi)^3\,2\omega_L\,(|\vec{k}|)}} \Big[\bar{v}_R\,(\vec{k},s)\; d_R\,(\vec{k},s)\; e^{-\,ik_Lx} \\ +\bar{u}_R\,(\vec{k},s)\; b_R^+\,(\vec{k},s)\; e^{ik_Lx} \Big]\,,$$

$$\bar{\Psi}_L(x) \;=\; \sum_s \int \frac{d^3k\,\sqrt{2M}}{\sqrt{(2\pi)^3\,2\omega_R\,(|\vec{k}|)}} \Big[\bar{v}_L\,(\vec{k},s)\; d_L\,(\vec{k},s)\; e^{-\,ik_Rx} \\ +\bar{u}_L\,(\vec{k},s)\; b_L^+\,(\vec{k},s)\; e^{ik_Rx} \Big]\,.$$

The non-vanishing anti–commutators of the momentum-space creation and anihilation operators are:

$$\{\, b_R\,(\vec{k},s),\, b_L^+\,(\vec{k}',s')\} \;=\; \delta^{\,3}(\vec{k}-\vec{k}')\;\delta_{ss'}\;, \\ \{\, d_L\,(\vec{k},s),\, d_R^+\,(\vec{k}',s')\} \;=\; \delta^{\,3}(\vec{k}-\vec{k}')\;\delta_{ss'}\;, \\ \&\ \text{Hermitian conjugate}\,.$$

It is now straight forward to construct the "Feynman-propagators" by:

$$i\,\Delta_F^R(x-y) \;:=\; <0|T\,(\Psi_R(x)\bar{\Psi}_L(y))|0> \\ \stackrel{!}{=}\; i\int\frac{d^4p}{(2\pi)^4}\,e^{-ip(x-y)}\,\frac{1}{\not{p}-M} = i\int\frac{d^4p}{(2\pi)^4}\,e^{-ip(x-y)}\frac{1}{p^2-M^2}\;(\not{p}+M)\,,$$

$$i\,\Delta_F^L(y-x) \;:=\; -\,\gamma_0\;(<0|T\,(\Psi_R(x)\bar{\Psi}_L(y))|0>)^+\gamma_0 \\ \stackrel{!}{=}\; i\int\frac{d^4p}{(2\pi)^4}\,e^{-ip(y-x)}\,\frac{1}{\not{p}-M^*} = i\int\frac{d^4p}{(2\pi)^4}\,e^{-ip(y-x)}\frac{1}{p^2-M^{*\,2}}\;(\not{p}+M^*)\,,$$

fulfilling the following equations:

$$(i\partial\!\!\!/_x - M)\;\Delta_F^R(x-y) \;=\; \delta^{\,4}(x-y)\;\;,\;\Delta_F^R(y-x)(-i\overleftarrow{\partial\!\!\!/}_x - M) \;=\; \delta^{\,4}(y-x)\,, \\ (i\partial\!\!\!/_x - M^*)\;\Delta_F^L(x-y) \;=\; \delta^{\,4}(x-y)\;\;,\;\Delta_F^L(y-x)(-i\overleftarrow{\partial\!\!\!/}_x - M^*) \;=\; \delta^{\,4}(y-x)\,.$$

2. Effective model for one nucleon, one resonance and one meson

The model under consideration can now be extended by introduction of new degrees of freedom, e.g. the nucleon field $N(x)$ (proton, neutron) and one meson $\phi_i(x)$ (internal index i), to obtain the following Lagrangian:

$$\mathcal{L}(x) \quad = \quad \mathcal{L}^0_{N,N_*}(x) \quad + \quad \mathcal{L}^0_{\Phi}(x) \quad + \quad \mathcal{L}^{\rm int}(x)\,,$$

$$\mathcal{L}^0_{N,N_*}(x) = \left(\bar{N}(x), \bar{N}^R_*(x), \bar{N}^L_*(x)\right)\ \mathcal{M}(N,N_*)\ \begin{pmatrix} N(x) \\ N^R_*(x) \\ N^L_*(x) \end{pmatrix}\,,$$

$$\mathcal{L}^{\rm int}(x) \ = \ - \ \left(N^+(x), N^{R+}_*(x), N^{L+}_*(x)\right) \left[\Gamma^{\,i}(N,N_*)\,\Phi_i(x) + \left(\Gamma^{\,i}(N,N_*)\right)^+ \Phi^+_i(x)\right] \begin{pmatrix} N(x) \\ N^R_*(x) \\ N^L_*(x) \end{pmatrix}\,,$$

with the following 3×3 matrices of Dirac-structures/operators:

$$\mathcal{M}(N,N_*) \ := \ \begin{pmatrix} \left(\frac{i}{2}\overset{\leftrightarrow}{\partial} - m\right) & 0 & 0 \\ 0 & 0 & \alpha^*\left(\frac{i}{2}\overset{\leftrightarrow}{\partial} - M^*\right) \\ 0 & \alpha\left(\frac{i}{2}\overset{\leftrightarrow}{\partial} - M\right) & 0 \end{pmatrix}\,,$$

$$\Gamma^{\,i}(N,N_*) \ := \ \begin{pmatrix} \frac{1}{2}\Gamma^{\,i}_{\Phi N\to N} & 0 & 0 \\ \Gamma^{\,i}_{\Phi N\to N^R_*} & \frac{1}{2}\Gamma^{\,i}_{\Phi N^R_*\to N^R_*} & 0 \\ \Gamma^{\,i}_{\Phi N\to N^L_*} & \Gamma^{\,i}_{\Phi N^R_*\to N^L_*} & \frac{1}{2}\Gamma^{\,i}_{\Phi N^L_*\to N^L_*} \end{pmatrix}\,,$$

$\Gamma^{\,i}(N,N_*)$ should be called "vertex matrix" containing all vertex structures between the fields considered. Summation over the internal indices i of the meson field is required. The transition to the non-unitary Wigner-Weisskopf approximation is performed by setting $N^{R+}_*(x) = N^L_*(x) = 0$.

3. Implication to coupling constants

As an example the non local interaction Lagrangian between the nucleon, the pion and the Roper-resonance looks as follows:

$$\mathcal{L}_{\pi N P_{11}}(x) \ = \ \frac{f_{\pi N P^L_{11}}}{m_\pi}\ \left(\bar{N}^L_{P_{11}}(x)\ \gamma_\mu\gamma_5\ \vec{\tau}\ N(x)\right)\ \cdot\ \partial^\mu\vec{\Phi}_\pi(x) + \frac{f_{\pi N P^R_{11}}}{m_\pi}\ \left(\bar{N}^R_{P_{11}}(x)\ \gamma_\mu\gamma_5\ \vec{\tau}\ N(x)\right)\ \cdot\ \partial^\mu\vec{\Phi}_\pi(x) \quad + \quad \text{h.c.}$$

Assuming the pseudoscalar couplings $g_{\pi NP_{11}^L}$ and $g_{\pi NP_{11}^R}$ to be equal (arbitrary complex numbers), consistency within the model requires the following relations between the pseudovector couplings:

$$\begin{aligned}
\frac{f_{\pi NP_{11}^L}}{m_\pi} &= \frac{g_{\pi NP_{11}^L}}{M_{P_{11}} + m_N}, \quad \frac{f_{\pi NP_{11}^R}}{m_\pi} = \frac{g_{\pi NP_{11}^R}}{M^*_{P_{11}} + m_N}, \\
\frac{f_{\pi NP_{11}^R}}{m_\pi} &= \frac{f_{\pi NP_{11}^L}}{m_\pi} \frac{M_{P_{11}} + m_N}{M^*_{P_{11}} + m_N}, \quad g_{\pi NP_{11}^R} = g_{\pi NP_{11}^L}.
\end{aligned}$$

Similar expressions hold for negative parity resonances, e.g. the $S_{11}(1535)$ resonance:

$$\begin{aligned}
\frac{f_{\pi NS_{11}^L}}{m_\pi} &= \frac{g_{\pi NS_{11}^L}}{M_{S_{11}} - m_N}, \quad \frac{f_{\pi NS_{11}^R}}{m_\pi} = \frac{g_{\pi NS_{11}^R}}{M^*_{S_{11}} - m_N}, \\
\frac{f_{\pi NS_{11}^R}}{m_\pi} &= \frac{f_{\pi NS_{11}^L}}{m_\pi} \frac{M_{S_{11}} - m_N}{M^*_{S_{11}} - m_N}, \quad g_{\pi NS_{11}^R} = g_{\pi NS_{11}^L}.
\end{aligned}$$

Obviously the "left" and "right" pseudovector couplings differ by complex phases which are determined by the resonance width. *These phases are relevant to interference terms, which are important for calculating threshold meson production processes at high momentum transfers, in which different resonances are excited by one collision of e.g. protons and nuclei ($\rightarrow$ correlations).* One process, which is very sensitive to interference terms, is the proton induced η-production at threshold. As this process is dominated by the excitation of just one resonance ($S_{11}(1535)$), the phases discussed in the model above can only be measured, if the resonance is excited by a nonlocal interaction and deexcited by a local interaction (and *vice versa*), or if the selfenergy of the resonance is treated to be not constant, but energy-dependent. A remarkable feature of the full effective field theoretic model is that unitarity is guaranteed, although the coupling constants can have arbitrary *complex* values, which are related to the self-energies of the resonances. This property should be not too surprising, as from renormalization theory it is well known, that not only the mass has to be renormalized, but also the coupling constants. A special feature of resonance effective degrees of freedom is, that not only the self energies are complex, but also the couplings. It is not clear, whether a bosonization procedure applied to an elementary non abelian theory like QCD will lead only to effective degrees of freedom, which have real self energies like the nucleon. *The presented model shows, that resonant degrees of freedom are also compatible with the requirement of unitarity.*

Finally one should mention a very subtle point for discussion, which has to be solved consistently within such an effective, non local field theoretical model: as the interaction between nucleons and mesons can generate resonances as poles of the S-matrix in the complex energy plane, one has to make clear – *to avoid double counting* –, in what way the effective resonant degrees of freedom in the model above have to be interpreted.

A detailed discussion of the full model will be given in [2]. The first, rough introduction can be found in [3].

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